

Professional Investor Certificate

This declaration is issued under Chapters 6D and 7 of the *Australian Corporations Act 2001 (Cth)* by:

A. CLIENT DETAILS

Account Holder Name
Kraken Public Account
ID

B. CLIENT ADDRESS

 State Postcode

C. DECLARATION

I hereby declare that:

1. I represent to Beaufort Fiduciaires Pty Ltd, that I am a Professional Investor as defined under section 9 of the *Corporations Act 2001 (Cth)* by reason that I am:

- ☐ (i) An Australian Financial Services Licensee (AFSL) – Licensee Number
- ☐ (ii) A body regulated by the Australian Prudential Regulation Authority (APRA), other than a trustee of any of the following (within the meaning of the *Superannuation Industry (Supervision) Act 1993 (Cth)*):
- a superannuation fund;
 - an approved deposit fund;
 - a pooled superannuation trust; or
 - a public sector superannuation scheme (this does not include SMSF)
- ☐ (iii) A body registered under the *Financial Sector (Collection of Data) Act 2001 (Cth)*
- ☐ (iv) A trustee of any of the following, within the meaning of the *Superannuation Industry (Supervision) Act 1993 (Cth)* and the fund controls assets of at least \$10 million:
- a superannuation fund;
 - an approved deposit fund;
 - a pooled superannuation trust; or
 - a public sector superannuation scheme
- Please provide a copy of an up-to-date Statement of Financial Position*
- ☐ (v) A person that controls at least \$10 million (including any assets held by an associate or under a trust that the person manages).
- Please provide a copy of an up-to-date Statement of Financial Position*
- ☐ (vi) A listed entity or a Related Body Corporate of a listed entity
- Please provide a copy of security information from the ASX website. For a related body corporate, please provide a copy of the shareholder registry.*
- ☐ (vii) An exempt public authority

Beaufort Fiduciaires Pty Ltd ACN 162 139 871 AFSL No. 545124, a company incorporated in Australia (Unit 610, 478 George Street Sydney NSW 2000 Australia) provides brokerage services in cryptocurrencies. Beaufort Fiduciaires Pty Ltd is a wholly owned subsidiary of Payward, Inc.

- ☐ (viii) A body corporate, or an unincorporated body, that carries on business of investment in financial products, interests in land or other investments; AND for those purposes, invests funds received (directly or indirectly) following an offer or invitation to the public, within the meaning of section 82 of the *Corporations Act 2001 (Cth)*, the terms of which provide for the funds subscribed to be invested for those purposes.
Please provide a copy of the fundraising Product Disclosure Statement

- ☐ (ix) A foreign entity that, if established or incorporated in Australia, would be covered by one of the preceding paragraphs, (i) to (viii) and indicate which one.
Please provide relevant evidence.

2. I will immediately notify Beaufort Fiduciaries Pty Ltd if I/the client cease to meet the 'Professional Investor' criteria selected above.
3. I understand that Beaufort Fiduciaries Pty Ltd may request further information to satisfy any of the above information provided.

D. CLIENT SIGNATURE

Name of client 1 / Director/ Sole Director / Company Secretary	Name of client 2 / Director/ Company Secretary	Name of client 3 / Director
Signature	Signature	Signature

Date